SECOND DUFFY DECLARATION EXHIBITS D-1 TO D-11



of America

Department of the Treasury Internal Revenue Service

Date: May 05, 2010

CERTIFICATE OF OFFICIAL RECORD

I certify that the annexed: is a true Form 4340, Certificate of Assessments, Payments and Other Specified Matters for Clare L. Reading, SSN:

4550, for Civil Penalty, for the tax period ending December 31, 1997, consisting of three pages

Government Exhibit
DuffyexD-1

under the custody of this office.

IN WITNESS WHEREOF, I have hereunto set my hand, and caused the seal of this office to be affixed, on the day and year first above written.

By direction of the Secretary of the Treasury:

Debbie Bybee

Department Manager

Ogden W&I Submission Processing

Case 2:11-cv-00698-FJM Document 56 Filed 05/11/12 Page 3 of 44

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

CLARE L READING

PROGRAM

FORM 4340 (REV. 01-2002)

EIN/SSN: 4550

TYPE OF TAX: CIVIL PENALTY FORM: CVPN TAX PERIOD: DEC 1997								
DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	CREDIT	ASSESSMENT DATE (23C, RAC 006)				
2								
	MISCELLANEOUS PENALTY IRC 6702 PENALTY FOR FILING FRIVOLOUS INCOME TAX RETURN 92254-480-52000-7 20071308	50	0.00	04-09-2007				
*	ADDITIONAL TAX ASSESSED 92254-480-52000-7 20071308		0.00	04-09-2007				
10-22-2007	MODULE IN FEDERAL PAYMENT LEVY PROGRAM							
11-05-2007	INTENT TO LEVY COLLECTION DUE PROCESS NOTICE LEVY NOTICE ISSUED			¥				
01-18-2008	INTENT TO LEVY COLLECTION DUE PROCESS NOTICE RETURN RECEIPT SIGNED							
02-29-2008	FEDERAL TAX LIEN							
03-24-2008	FEES AND COLLECTION COSTS	3	2.00					
02-13-2008	COLLECTIONS WORKING CASE							
04-07-2008	MODULE REVERSED OUT OF FEDERAL PAYMENT LEVY							

Case 2:11-cv-00698-FJM Document 56 Filed 05/11/12 Page 4 of 44

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

LARE L READING

ATE

EIN/SSN: 4550

YPE OF TAX: CIVIL PENALTY

ORM: CVPN TAX PERIOD: DEC 1997

EXPLANATION OF TRANSACTION

ASSESSMENT, OTHER DEBITS PAYMENT, CREDIT

ASSESSMENT DATE (23C, RAC 006)

(REVERSAL) (REVERSAL)

2-13-2008 LEGAL SUIT PENDING

3-12-2008 COLLECTIONS WORKING CASE

3-12-2008 LEGAL SUIT PENDING

I-17-2008 INTENT TO LEVY COLLECTION DUE PROCESS NOTICE LEVY NOTICE ISSUED

1-09-2009 FEDERAL TAX LIEN

2-14-2009 COLL DUE PROC HRNG RSLVD BY APPEALS-DETRMINATN LTR ISSUED, TXPYR WAIVD JUDCL REVW OR WIHDRW HRNG ROST

2-14-2009 COLL DUE PROC HRNG RSLVD BY APPEALS-DETRMINATN LTR ISSUED, TXPYR WAIVD JUDCL REVW OR WTHDRW HRNG RQST

2-14-2008 LEGAL SUIT NO LONGER PENDING

4-09-2007 Statutory Notice of Balance Due

5-14-2007 Statutory Notice of Intent to Levy

ORM 4340 (REV. 01-2002)

PAGE

Case 2:11-cv-00698-FJM Document 56 Filed 05/11/12 Page 5 of 44 CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS CLARE L READING EIN/SSN: 4550 TYPE OF TAX: CIVIL PENALTY FORM: CVPN TAX PERIOD: DEC 1997 BALANCE 532.00 I CERTIFY THAT THE FOREGOING TRANSCRIPT OF THE TAXPAYER NAMED ABOVE IN RESPECT TO THE TAXES SPECIFIED IS A TRUE AND COMPLETE TRANSCRIPT FOR THE PERIOD STATED, AND ALL ASSESSMENTS, ABATEMENTS, CREDITS, REFUNDS, AND ADVANCE OR UNIDENTIFIED PAYMENTS, AND THE ASSESSED BALANCE RELATING THERETO, AS DISCLOSED BY THE RECORDS OF THIS OFFICE AS OF THE ACCOUNT STATUS DATE ARE SHOWN THEREIN. I FURTHER CERTIFY THAT THE OTHER SPECIFIED MATTERS SET FORTH IN THIS TRANSCRIPT APPEAR IN THE OFFICIAL RECORDS OF THE INTERNAL REVENUE SERVICE.

SIGNATURE OF CERTIFYING OFFICER:

PRINT NAME: Debbie Bybee

TITLE: Department Manager, Ogden W&I Submission Processing

DELEGATION ORDER: W&I Delegation Order WI-11-5

LOCATION: INTERNAL REVENUE SERVICE

ACCOUNT STATUS DATE 05/05/2010

FORM 4340 (REV. 01-2002)

PAGE



of America

Department of the Treasury Internal Revenue Service

Date: May 05, 2010

CERTIFICATE OF OFFICIAL RECORD

Government
Exhibit
DuffyExD-2

I certify that the annexed: is a true Form 4340, Certificate of Assessments, Payments and Other Specified Matters for Clare L. Reading, SSN: 4550, for Civil Penalty, for the tax period ending December 31, 1998, consisting of three pages

under the custody of this office.

IN WITNESS WHEREOF, I have hereunto set my hand, and caused the seal of this office to be affixed, on the day and year first above written.

By direction of the Secretary of the Treasury:

Debbie Bybee

Department Manager

Ogden W&I Submission Processing

Case 2:11-cv-00698-FJM Document 56 Filed 05/11/12 Page 7 of 44

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

CLARE L READING

FORM 4340 (REV. 01-2002)

EIN/SSN: 4550

	X: CIVIL PENALTY TAX PERIOD: DEC 1998			
DATE	EXPLANATION OF TRANSACTION	(REVERSAL)	(REVERSAL)	RAC 006)
	MISCELLANEOUS PENALTY IRC 6702 PENALTY FOR FILING FRIVOLOUS INCOME TAX RETURN 92254-480-52001-7 20071308			
	ADDITIONAL TAX ASSESSED 92254-480-52001-7 20071308	0	.00	04-09-2007
10-22-2007	MODULE IN FEDERAL PAYMENT LEVY PROGRAM		**	,
11-05-2007	INTENT TO LEVY COLLECTION DUE PROCESS NOTICE LEVY NOTICE ISSUED			
01-18-2008	INTENT TO LEVY COLLECTION DUE PROCESS NOTICE RETURN RECEIPT SIGNED			
02-29-2008	FEDERAL TAX LIEN			
02-13-2008	COLLECTIONS WORKING CASE			
04-07-2008	MODULE REVERSED OUT OF FEDERAL PAYMENT LEVY PROGRAM		e e	
02-13-2008	LEGAL SUIT PENDING			

Case 2:11-cv-00698-FJM Document 56 Filed 05/11/12 Page 8 of 44

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

LARE L READING

ATE

EIN/SSN:

4550

YPE OF TAX: CIVIL PENALTY

ORM: CVPN TAX PERIOD: DEC 1998

EXPLANATION OF TRANSACTION

ASSESSMENT, OTHER DEBITS (REVERSAL) PAYMENT, CREDIT ASSESSMENT DATE (23C,

(REVERSAL) RAC 006)

3-12-2008 COLLECTIONS WORKING CASE

3-12-2008 LEGAL SUIT PENDING

1-17-2008 INTENT TO LEVY COLLECTION DUE PROCESS NOTICE LEVY NOTICE ISSUED

1-09-2009 FEDERAL TAX LIEN

2-14-2009 COLL DUE PROC HRNG RSLVD BY APPEALS-DETRMINATN LTR ISSUED, TXPYR WAIVD JUDCL REVW OR WTHDRW HRNG RQST

2-14-2009 COLL DUE PROC HRNG RSLVD BY APPEALS-DETRMINATN LTR ISSUED, TXPYR WAIVD JUDCL REVW OR WTHDRW HRNG ROST

2-14-2008 LEGAL SUIT NO LONGER PENDING

4-09-2007 Statutory Notice of Balance Due

5-14-2007 Statutory Notice of Intent to Levy

ORM 4340 (REV. 01-2002)

PAGE

Case 2:11-cv-00698-FJM Document 56 Filed 05/11/12 Page 9 of 44

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

CLARE L READING	EIN/SSN: 4550
QN V	
TYPE OF TAX: CIVIL PENALTY FORM: CVPN TAX PERIOD: DEC 1998	
	y e ga
BALANCE 500.00	
TO THE TAXES SPECIFIED IS A TRUE AND CO AND ALL ASSESSMENTS, ABATEMENTS, CREDIT PAYMENTS, AND THE ASSESSED BALANCE RELA RECORDS OF THIS OFFICE AS OF THE ACCOUN	IT STATUS DATE ARE SHOWN THEREIN. I D MATTERS SET FORTH IN THIS TRANSCRIPT
SIGNATURE OF CERTIFYING OFFICER: PRINT NAME: Debbie Bybee	hisplice
TITLE: Department Manager, Ogden W&I Submi	ssion Processina
N N N N N N N N N N N N N N N N N N N	S N N N N N N N N N N N N N N N N N N N
DELEGATION ORDER: W&I Delegation Order WI-	11-5
LOCATION: INTERNAL REVENUE SERVICE	
ACCOUNT STATUS DATE 05/05/201	.0
FORM 4340 (REV. 01-2002)	PAGE 3



of America

Government
Exhibit
DuffyExD-3

Department of the Treasury Internal Revenue Service

Date: May 05, 2010

CERTIFICATE OF OFFICIAL RECORD

I certify that the annexed: is a true Form 4340, Certificate of Assessments, Payments and Other Specified Matters for Clare L. Reading, SSN: 4550, for Civil Penalty, for the tax period ending December 31, 1999, consisting of three pages

under the custody of this office.

IN WITNESS WHEREOF, I have hereunto set my hand, and caused the seal of this office to be affixed, on the day and year first above written.

in bylue

By direction of the Secretary of the Treasury:

Debbie Bybee

Department Manager

Ogden W&I Submission Processing

Case 2:11-cv-00698-FJM Document 56 Filed 05/11/12 Page 11 of 44

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

CLARE L READING

EIN/SSN: 4550

TYPE	OF 1	TAX:	CIVIL	PENALTY		
FORM:	CVE	N	TAX	PERIOD:	DEC	199

FORM 4340 (REV. 01-2002)

FORM: CVPN	TAX PERIOD: DEC 1999		
DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, PAYMENT, OTHER DEBITS CREDIT (REVERSAL) (REVERSAL)	DATE (23C,
	MISCELLANEOUS PENALTY IRC 6702 PENALTY FOR FILING FRIVOLOUS INCOME TAX RETURN 92254-480-52002-7 20071308	500.00	04-09-2007
	ADDITIONAL TAX ASSESSED 92254-480-52002-7 20071308	0.00	04-09-2007
10-22-2007	MODULE IN FEDERAL PAYMENT LEVY PROGRAM		
11-05-2007	INTENT TO LEVY COLLECTION DUE PROCESS NOTICE LEVY NOTICE ISSUED		
01-18-2008	INTENT TO LEVY COLLECTION DUE PROCESS NOTICE RETURN RECEIPT SIGNED		
02-29-2008	FEDERAL TAX LIEN		
02-13-2008	COLLECTIONS WORKING CASE		
04-07-2008	MODULE REVERSED OUT OF FEDERAL PAYMENT LEVY PROGRAM		
02-13-2008	LEGAL SUIT PENDING		

Case 2:11-cv-00698-FJM Document 56 Filed 05/11/12 Page 12 of 44

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

LARE L READING

EIN/SSN:

4550

YPE OF TAX: CIVIL PENALTY

ORM: CVPN TAX PERIOD: DEC 1999

ATE EXPLANATION OF TRANSACTION

ASSESSMENT, OTHER DEBITS

PAYMENT, CREDIT ASSESSMENT DATE (23C,

(REVERSAL)

(REVERSAL)

RAC 006)

3-12-2008 COLLECTIONS WORKING CASE

3-12-2008 LEGAL SUIT PENDING

I-17-2008 INTENT TO LEVY COLLECTION DUE PROCESS NOTICE LEVY NOTICE ISSUED

1-09-2009 FEDERAL TAX LIEN

2-14-2009 COLL DUE PROC HRNG RSLVD
BY APPEALS-DETRMINATN LTR
ISSUED, TXPYR WAIVD JUDCL
REVW OR WTHDRW HRNG ROST

2-14-2009 COLL DUE PROC HRNG RSLVD BY APPEALS-DETRMINATN LTR ISSUED, TXPYR WAIVD JUDCL REVW OR WTHDRW HRNG RQST

2-14-2008 LEGAL SUIT NO LONGER PENDING

4-09-2007 Statutory Notice of Balance Due

5-14-2007 Statutory Notice of Intent to Levy

ORM 4340 (REV. 01-2002)

PAGE

Case 2:11-cv-00698-FJM Document 56 Filed 05/11/12 Page 13 of 44

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

CLARE L READING

EIN/SSN: 4550

TYPE OF TAX: CIVIL PENALTY

FORM: CVPN TAX PERIOD: DEC 1999

BALANCE

500.00

I CERTIFY THAT THE FOREGOING TRANSCRIPT OF THE TAXPAYER NAMED ABOVE IN RESPECT TO THE TAXES SPECIFIED IS A TRUE AND COMPLETE TRANSCRIPT FOR THE PERIOD STATED, AND ALL ASSESSMENTS, ABATEMENTS, CREDITS, REFUNDS, AND ADVANCE OR UNIDENTIFIED PAYMENTS, AND THE ASSESSED BALANCE RELATING THERETO, AS DISCLOSED BY THE RECORDS OF THIS OFFICE AS OF THE ACCOUNT STATUS DATE ARE SHOWN THEREIN. I FURTHER CERTIFY THAT THE OTHER SPECIFIED MATTERS SET FORTH IN THIS TRANSCRIPT APPEAR IN THE OFFICIAL RECORDS OF THE INTERNAL REVENUE SERVICE.

SIGNATURE OF CERTIFYING OFFICER:

PRINT NAME: Debbie Bybee

TITLE: Department Manager, Ogden W&I Submission Processing

DELEGATION ORDER: W&I Delegation Order WI-11-5

LOCATION: INTERNAL REVENUE SERVICE

ACCOUNT STATUS DATE 05/05/2010

FORM 4340 (REV. 01-2002)



of America

Department of the Treasury Internal Revenue Service

Date: May 05, 2010

CERTIFICATE OF OFFICIAL RECORD

Government
Exhibit
DuffyExD-4

I certify that the annexed: is a true Form 4340, Certificate of Assessments, Payments and Other Specified Matters for Clare L. Reading, SSN: 4550, for Civil Penalty, for the tax period ending December 31, 2000, consisting of three pages

under the custody of this office.

IN WITNESS WHEREOF, I have hereunto set my hand, and caused the seal of this office to be affixed, on the day and year first above written.

ldivbylu

By direction of the Secretary of the Treasury:

Debbie Bybee

Department Manager

Ogden W&I Submission Processing

Case 2:11-cv-00698-FJM Document 56 Filed 05/11/12 Page 15 of 44

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

CLARE L READING

EIN/SSN: 4550

TYPE	OF TA	X: CI'	VIL F	PENALTY		
FORM:	CVPN	2	TAX	PERIOD:	DEC	2000

02-13-2008 LEGAL SUIT PENDING

FORM 4340 (REV. 01-2002)

FORM: CVPN	TAX PERIOD: DEC 2000		
DATE		ASSESSMENT, PAYMENT, OTHER DEBITS CREDIT (REVERSAL) (REVERSAL)	DATE (23C,
		ν	
	MISCELLANEOUS PENALTY IRC 6702 PENALTY FOR FILING FRIVOLOUS INCOME TAX RETURN 92254-480-52003-7 20071308	500.00	04-09-2007
	ADDITIONAL TAX ASSESSED 92254-480-52003-7 20071308	0.00	04-09-2007
10-22-2007	MODULE IN FEDERAL PAYMENT LEVY PROGRAM		
11-05-2007	INTENT TO LEVY COLLECTION DUE PROCESS NOTICE LEVY NOTICE ISSUED		
01-18-2008	INTENT TO LEVY COLLECTION DUE PROCESS NOTICE RETURN RECEIPT SIGNED		
02-29-2008	FEDERAL TAX LIEN		
02-13-2008	COLLECTIONS WORKING CASE		
04-07-2008	MODULE REVERSED OUT OF FEDERAL PAYMENT LEVY PROGRAM	to the state of th	

PAGE I

Case 2:11-cv-00698-FJM Document 56 Filed 05/11/12 Page 16 of 44

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

LARE L READING

EIN/SSN: 4550

YPE OF TAX: CIVIL PENALTY

ORM: CVPN

ATE

TAX PERIOD: DEC 2000

EXPLANATION OF TRANSACTION

ASSESSMENT, OTHER DEBITS

PAYMENT. CREDIT

ASSESSMENT

(REVERSAL)

(REVERSAL)

DATE (23C, RAC 006)

3-12-2008 COLLECTIONS WORKING CASE

3-12-2008 LEGAL SUIT PENDING

1-17-2008 INTENT TO LEVY COLLECTION DUE PROCESS NOTICE

LEVY NOTICE ISSUED

1-09-2009 FEDERAL TAX LIEN

2-14-2009 COLL DUE PROC HRNG RSLVD BY APPEALS-DETRMINATN LTR ISSUED, TXPYR WAIVD JUDCL REVW OR WITHDRW HRNG ROST

2-14-2009 COLL DUE PROC HRNG RSLVD BY APPEALS-DETRMINATN LTR ISSUED, TXPYR WAIVD JUDCL REVW OR WTHDRW HRNG RQST

2-14-2008 LEGAL SUIT NO LONGER PENDING

4-09-2007 Statutory Notice of Balance Due

5-14-2007 Statutory Notice of Intent to Levy

ORM 4340 (REV. 01-2002)

PAGE

Case 2:11-cv-00698-FJM Document 56 Filed 05/11/12 Page 17 of 44

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

CLARE L READING

EIN/SSN: 4550

TYPE OF TAX: CIVIL PENALTY

FORM: CVPN TAX PERIOD: DEC 2000

BALANCE

500.00

I CERTIFY THAT THE FOREGOING TRANSCRIPT OF THE TAXPAYER NAMED ABOVE IN RESPECT TO THE TAXES SPECIFIED IS A TRUE AND COMPLETE TRANSCRIPT FOR THE PERIOD STATED, AND ALL ASSESSMENTS, ABATEMENTS, CREDITS, REFUNDS, AND ADVANCE OR UNIDENTIFIED PAYMENTS, AND THE ASSESSED BALANCE RELATING THERETO, AS DISCLOSED BY THE RECORDS OF THIS OFFICE AS OF THE ACCOUNT STATUS DATE ARE SHOWN THEREIN. I FURTHER CERTIFY THAT THE OTHER SPECIFIED MATTERS SET FORTH IN THIS TRANSCRIPT APPEAR IN THE OFFICIAL RECORDS OF THE INTERNAL REVENUE SERVICE.

SIGNATURE OF CERTIFYING OFFICER:

PRINT NAME: Debbie Bybee

TITLE: Department Manager, Ogden W&I Submission Processing

DELEGATION ORDER: W&I Delegation Order WI-11-5

LOCATION: INTERNAL REVENUE SERVICE

ACCOUNT STATUS DATE 05/05/2010

FORM 4340 (REV. 01-2002)

PAGE



of America

Department of the Treasury Internal Revenue Service

Date: May 05, 2010

CERTIFICATE OF OFFICIAL RECORD

Government
Exhibit
DuffyExD-5

I certify that the annexed: is a true Form 4340, Certificate of Assessments, Payments and Other Specified Matters for Clare L. Reading, SSN: 4550, for Civil Penalty, for the tax period ending December 31, 2001, consisting of three pages

under the custody of this office.

IN WITNESS WHEREOF, I have hereunto set my hand, and caused the seal of this office to be affixed, on the day and year first above written.

By direction of the Secretary of the Treasury:

Debbie Bybee

Department Manager

Ogden W&I Submission Processing

Case 2:11-cv-00698-FJM Document 56 Filed 05/11/12 Page 19 of 44

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

CLARE L READING

EIN/SSN: 4550

TYPE	OF	TAX:	CIVIL	. PENALT'	1

FORM 4340 (REV. 01-2002)

FORM: CVPN	TAX PERIOD: DEC 2001			
DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	CREDIT	DATE (23C,
			were proof take while ships with high was place about about about about	
	MISCELLANEOUS PENALTY IRC 6702 PENALTY FOR FILING FRIVOLOUS INCOME TAX RETURN 92254-480-52004-7 20071308	50	0.00	04-09-2007
	ADDITIONAL TAX ASSESSED 92254-480-52004-7 20071308		0.00	04-09-2007
10-22-2007	MODULE IN FEDERAL PAYMENT LEVY PROGRAM			
11-05-2007	INTENT TO LEVY COLLECTION DUE PROCESS NOTICE LEVY NOTICE ISSUED			
01-18-2008	INTENT TO LEVY COLLECTION DUE PROCESS NOTICE RETURN RECEIPT SIGNED			
02-29-2008	FEDERAL TAX LIEN			*
02-13-2008	COLLECTIONS WORKING CASE			
04-07-2008	MODULE REVERSED OUT OF FEDERAL PAYMENT LEVY PROGRAM			
02-13-2008	LEGAL SUIT PENDING			

Case 2:11-cv-00698-FJM Document 56 Filed 05/11/12 Page 20 of 44

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

ARE L READING

EIN/SSN:

YPE OF TAX: CIVIL PENALTY

ATE

JRM: CVPN TAX PERIOD: DEC 2001

EXPLANATION OF TRANSACTION

ASSESSMENT, OTHER DEBITS (REVERSAL) PAYMENT, CREDIT

ASSESSMENT DATE (23C,

RAC 006) (REVERSAL)

3-12-2008 COLLECTIONS WORKING CASE

3-12-2008 LEGAL SUIT PENDING

1-17-2008 INTENT TO LEVY COLLECTION DUE PROCESS NOTICE LEVY NOTICE ISSUED

1-09-2009 FEDERAL TAX LIEN

2-14-2009 COLL DUE PROC HRNG RSLVD BY APPEALS-DETRMINATN LTR ISSUED, TXPYR WAIVD JUDCL REVW OR WIHDRW HRNG ROST

2-14-2009 COLL DUE PROC HRNG RSLVD BY APPEALS-DETRMINATH LTR ISSUED, TXPYR WAIVD JUDCL REVW OR WIHDRW HRNG ROST

2-14-2008 LEGAL SUIT NO LONGER PENDING

4-09-2007 Statutory Notice of Balance Due

5-14-2007 Statutory Notice of Intent to Levy

ORM 4340 (REV. 01-2002)

PAGE

Case 2:11-cv-00698-FJM Document 56 Filed 05/11/12 Page 21 of 44

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS EIN/SSN: CLARE L READING TYPE OF TAX: CIVIL PENALTY FORM: CVPN TAX PERIOD: DEC 2001 500.00 BALANCE CERTIFY THAT THE FOREGOING TRANSCRIPT OF THE TAXPAYER NAMED ABOVE IN RESPECT TO THE TAXES SPECIFIED IS A TRUE AND COMPLETE TRANSCRIPT FOR THE PERIOD STATED, AND ALL ASSESSMENTS, ABATEMENTS, CREDITS, REFUNDS, AND ADVANCE OR UNIDENTIFIED PAYMENTS, AND THE ASSESSED BALANCE RELATING THERETO, AS DISCLOSED BY THE RECORDS OF THIS OFFICE AS OF THE ACCOUNT STATUS DATE ARE SHOWN THEREIN. FURTHER CERTIFY THAT THE OTHER SPECIFIED MATTERS SET FORTH IN THIS TRANSCRIPT APPEAR IN THE OFFICIAL RECORDS OF THE INTERNAL REVENUE SERVICE. SIGNATURE OF CERTIFYING D

Debbie Bybee PRINT NAME:

Department Manager, Ogden W&I Submission Processing

DELEGATION ORDER: W&I Delegation Order WI-11-5

LOCATION: INTERNAL REVENUE SERVICE

ACCOUNT STATUS DATE 05/05/2010

FORM 4340 (REV. 01-2002)



of America

Department of the Treasury Internal Revenue Service

Date: May 05, 2010

CERTIFICATE OF OFFICIAL RECORD

I certify that the annexed: is a true Form 4340, Certificate of Assessments, Payments and Other Specified Matters for Clare L. Reading, SSN: 4550, for Civil Penalty, for the tax period ending December 31, 2002, consisting of three pages

Government

Exhibit

DuffyExD-6

IN WITNESS WHEREOF, I have hereunto set my hand, and caused the seal of this office to be affixed, on the day and year first above written.

By direction of the Secretary of the Treasury:

Debbie Bybee

Department Manager

Ogden W&I Submission Processing

W&I Delegation Order WI-11-5

under the custody of this office.

Case 2:11-cv-00698-FJM Document 56 Filed 05/11/12 Page 23 of 44

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

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EIN/SSN:

4550

ACCECCMENT PAYMENT ACCECCMENT

TYPE OF TAX:	CIVIL PENALTY		
FORM: CVPN	TAX PERIOD:	DEC	2002
		20	

DATE	EXPLANATION OF TRANSACTION	OTHER DEBITS (REVERSAL)	CREDIT (REVERSAL)	DATE (23C, RAC 006)
	MISCELLANEOUS PENALTY	50	0.00	04-09-2007
9	IRC 6702 PENALTY FOR FILING FRIVOLOUS INCOME TAX RETURN	50		04-09-2007
	92254-480-52005-7 20071308			
	ADDITIONAL TAX ASSESSED		0.00	04-09-2007

10-22-2007	MODUL	EIN	FEDERAL	PAYMENT
	LEVY	PROGR	MA	

92254-480-52005-7 20071308

11-05-2007 INTENT TO LEVY COLLECTION DUE PROCESS NOTICE LEVY NOTICE ISSUED

01-18-2008 INTENT TO LEVY COLLECTION DUE PROCESS NOTICE RETURN RECEIPT SIGNED

02-29-2008 FEDERAL TAX LIEN

02-13-2008 COLLECTIONS WORKING CASE

04-07-2008 MODULE REVERSED OUT OF FEDERAL PAYMENT LEVY PROGRAM

02-13-2008 LEGAL SUIT PENDING

FORM 4340 (REV. 01-2002)

Case 2:11-cv-00698-FJM Document 56 Filed 05/11/12 Page 24 of 44

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

LARE L READING

EIN/SSN: 4550

YPE OF TAX: CIVIL PENALTY

ORM: CVPN

ATE

TAX PERIOD: DEC 2002

EXPLANATION OF TRANSACTION

ASSESSMENT, OTHER DEBITS

PAYMENT, CREDIT

ASSESSMENT

(REVERSAL)

(REVERSAL)

DATE (23C, RAC 006)

3-12-2008 COLLECTIONS WORKING CASE

3-12-2008 LEGAL SUIT PENDING

1-17-2008 INTENT TO LEVY COLLECTION DUE PROCESS NOTICE

LEVY NOTICE ISSUED

1-09-2009 FEDERAL TAX LIEN

2-14-2009 COLL DUE PROC HRNG RSLVD BY APPEALS-DETRMINATN LTR ISSUED, TXPYR WAIVD JUDCL REVW OR WIHDRW HRNG ROST

2-14-2009 COLL DUE PROC HRNG RSLVD BY APPEALS-DETRMINATN LTR ISSUED, TXPYR WAIVD JUDCL REVW OR WTHDRW HRNG RQST

2-14-2008 LEGAL SUIT NO LONGER PENDING

4-09-2007 Statutory Notice of Balance Due

5-14-2007 Statutory Notice of Intent to Levy

ORM 4340 (REV. 01-2002)

PAGE

Case 2:11-cv	/-00698-FJM Documen	t 56 Filed 05/11/12	Page 25 of 44	
CERTIFICATE OF ASS	SESSMENTS, PAYMENT	S, AND OTHER SP	ECIFIED MATTERS)
CLARE L READING	EI	N/SSN: 4	550	
TYPE OF TAX: CIVIL PENALT				
BALANCE 500.00	0			300 Can 200 Earl 200 San
		THE TANDAMED N	AMED ADOME THE	
I CERTIFY THAT THE FOREGO TO THE TAXES SPECIFIED IS AND ALL ASSESSMENTS, ABAT PAYMENTS, AND THE ASSESSE RECORDS OF THIS OFFICE AS FURTHER CERTIFY THAT THE APPEAR IN THE OFFICIAL RE	S A TRUE AND COMPLITEMENTS, CREDITS, FED BALANCE RELATINGS OF THE ACCOUNT STOTHER SPECIFIED M.	ETE TRANSCRIPT REFUNDS, AND AD G THERETO, AS D TATUS DATE ARE ATTERS SET FORT	FOR THE PERIOD VANCE OR UNIDEN ISCLOSED BY THE SHOWN THEREIN. H IN THIS TRANS	STATED, ITIFIED
SIGNATURE OF CERTIFYING OF PRINT NAME: Debbie Bybee	N WOULD -	PHUL		
TITLE: Department Manager		Processing		

PAGE

3

DELEGATION ORDER: W&I Delegation Order WI-11-5

ACCOUNT STATUS DATE 05/05/2010

LOCATION: INTERNAL REVENUE SERVICE

FORM 4340 (REV. 01-2002)



of America

Department of the Treasury Internal Revenue Service

CERTIFICATE OF OFFICIAL RECORD

Government
Exhibit
DuffyExD-7

I certify that the annexed: is a true Form 4340, Certificate of Assessments, Payments and Other Specified Matters for Clare L. Reading, SSN: 4550, for Civil Penalty, for the tax period ending December 31, 2003, consisting of three pages

under the custody of this office.

IN WITNESS WHEREOF, I have hereunto set my hand, and caused the seal of this office to be affixed, on the day and year first above written.

By direction of the Secretary of the Treasury:

Debbie Bybee

Department Manager

Ogden W&I Submission Processing

Case 2:11-cv-00698-FJM Document 56 Filed 05/11/12 Page 27 of 44

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

CLARE L READING

02-13-2008 LEGAL SUIT PENDING

FORM 4340 (REV. 01-2002)

EIN/SSN: 4550

	X: CIVIL PENALTY TAX PERIOD: DEC 2003			N
DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	DATE (23C,
			THE THE STATE STAT	NAME AND SAME SAME AND ADDRESS AND ADDRESS AND ADDRESS ASSESSED ASSESSED.
	MISCELLANEOUS PENALTY IRC 6702 PENALTY FOR FILING FRIVOLOUS INCOME TAX RETURN 92254-501-52000-7 20071608	50	0.00	04-30-2007
	ADDITIONAL TAX ASSESSED 92254-501-52000-7 20071608		0.00	04-30-2007
10-22-2007	MODULE IN FEDERAL PAYMENT LEVY PROGRAM		w	10
11-05-2007	INTENT TO LEVY COLLECTION DUE PROCESS NOTICE LEVY NOTICE ISSUED			
01-18-2008	INTENT TO LEVY COLLECTION DUE PROCESS NOTICE RETURN RECEIPT SIGNED	e .		
02-29-2008	FEDERAL TAX LIEN			
02-13-2008	COLLECTIONS WORKING CASE			
04-07-2008	MODULE REVERSED OUT OF FEDERAL PAYMENT LEVY PROGRAM			

Case 2:11-cv-00698-FJM Document 56 Filed 05/11/12 Page 28 of 44

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

CLARE L READING

EIN/SSN:

4550

YPE OF TAX: CIVIL PENALTY

ORM: CVPN

TAX PERIOD: DEC 2003

)ATE EXPLANATION OF TRANSACTION

ASSESSMENT, OTHER DEBITS

(REVERSAL)

PAYMENT, CREDIT (REVERSAL) ASSESSMENT DATE (23C, RAC 006)

13-12-2008 COLLECTIONS WORKING CASE

13-12-2008 LEGAL SUIT PENDING

)1-17-2008 INTENT TO LEVY COLLECTION DUE PROCESS NOTICE LEVY NOTICE ISSUED

11-09-2009 FEDERAL TAX LIEN

12-14-2009 COLL DUE PROC HRNG RSLVD
BY APPEALS-DETRMINATN LTR
ISSUED, TXPYR WAIVD JUDCL
REVW OR WTHDRW HRNG ROST

12-14-2009 COLL DUE PROC HRNG RSLVD BY APPEALS-DETRMINATN LTR ISSUED, TXPYR WAIVD JUDCL REVW OR WTHDRW HRNG RQST

12-14-2008 LEGAL SUIT NO LONGER PENDING

14-30-2007 Statutory Notice of Balance Due

16-04-2007 Statutory Notice of Intent to Levy

ORM 4340 (REV. 01-2002)

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Case 2:11-cv-00698-FJM Document 56 Filed 05/11/12 Page 29 of 44

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

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EIN/SSN:

4550

TYPE OF TAX: CIVIL PENALTY

FORM: CVPN TAX PERIOD: DEC 2003

BALANCE

500.00

I CERTIFY THAT THE FOREGOING TRANSCRIPT OF THE TAXPAYER NAMED ABOVE IN RESPECT TO THE TAXES SPECIFIED IS A TRUE AND COMPLETE TRANSCRIPT FOR THE PERIOD STATED, AND ALL ASSESSMENTS, ABATEMENTS, CREDITS, REFUNDS, AND ADVANCE OR UNIDENTIFIED PAYMENTS, AND THE ASSESSED BALANCE RELATING THERETO, AS DISCLOSED BY THE RECORDS OF THIS OFFICE AS OF THE ACCOUNT STATUS DATE ARE SHOWN THEREIN. FURTHER CERTIFY THAT THE OTHER SPECIFIED MATTERS SET FORTH IN THIS TRANSCRIPT APPEAR IN THE OFFICIAL RECORDS OF THE INTERNAL REVENUE SERVICE.

SIGNATURE OF CERTIFYING OFFICER:

Debbie Bybee PRINT NAME:

TITLE: Department Manager, Ogden W&I Submission Processing

DELEGATION ORDER: W&I Delegation Order WI-11-5

LOCATION: INTERNAL REVENUE SERVICE

ACCOUNT STATUS DATE 05/05/2010

FORM 4340 (REV. 01-2002)



of America

Department of the Treasury Internal Revenue Service

Date: May 05, 2010

CERTIFICATE OF OFFICIAL RECORD

Government
Exhibit
DuffyExD-8

I certify that the annexed: is a true Form 4340, Certificate of Assessments, Payments and Other Specified Matters for Clare L. Reading, SSN: 4550, for Civil Penalty, for the tax period ending December 31, 2004, consisting of three pages

under the custody of this office.

IN WITNESS WHEREOF, I have hereunto set my hand, and caused the seal of this office to be affixed, on the day and year first above written.

By direction of the Secretary of the Treasury:

Debbie Bybee

Department Manager

Ogden W&I Submission Processing

Case 2:11-cv-00698-FJM Document 56 Filed 05/11/12 Page 31 of 44

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

CLARE L RE	ADING	EIN/SSN:	4550	
	X: CIVIL PENALTY TAX PERIOD: DEC 2004			
DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)		ASSESSMENT DATE (23C, RAC 006)
		The state when the same was said and said after the state of the same said		
	MISCELLANEOUS PENALTY IRC 6702 PENALTY FOR FILING FRIVOLOUS INCOME TAX RETURN 92254-502-52003-7 20071608	50	0.00	04-30-2007
	ADDITIONAL TAX ASSESSED 92254-502-52003-7 20071608		0.00	04-30-2007
10-22-2007	MODULE IN FEDERAL PAYMENT LEVY PROGRAM	N.		
11-05-2007	INTENT TO LEVY COLLECTION DUE PROCESS NOTICE LEVY NOTICE ISSUED			
	INTENT TO LEVY COLLECTION DUE PROCESS NOTICE RETURN RECEIPT SIGNED			¥
02-29-2008	FEDERAL TAX LIEN			
02-13-2008	COLLECTIONS WORKING CASE			
04-07-2008	MODULE REVERSED OUT OF FEDERAL PAYMENT LEVY PROGRAM			

PAGE

1

02-13-2008 LEGAL SUIT PENDING

FORM 4340 (REV. 01-2002)

Case 2:11-cv-00698-FJM Document 56 Filed 05/11/12 Page 32 of 44

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

LARE L READING

EIN/SSN: 4550

YPE OF TAX: CIVIL PENALTY

ORM: CVPN

ATE

TAX PERIOD: DEC 2004

EXPLANATION OF TRANSACTION

ASSESSMENT, OTHER DEBITS (REVERSAL) (REVERSAL)

PAYMENT, CREDIT

ASSESSMENT DATE (23C, RAC 006)

3-12-2008 COLLECTIONS WORKING CASE

3-12-2008 LEGAL SUIT PENDING

1-17-2008 INTENT TO LEVY COLLECTION DUE PROCESS NOTICE LEVY NOTICE ISSUED

1-09-2009 FEDERAL TAX LIEN

2-14-2009 COLL DUE PROC HRNG RSLVD BY APPEALS-DETRMINATN LTR ISSUED, TXPYR WAIVD JUDCL REVW OR WIHDRW HRNG ROST

12-14-2009 COLL DUE PROC HRNG RSLVD BY APPEALS-DETRMINATN LTR ISSUED, TXPYR WAIVD JUDCL REVW OR WIHDRW HRNG ROST

12-14-2008 LEGAL SUIT NO LONGER PENDING

4-30-2007 Statutory Notice of Balance Due

16-04-2007 Statutory Notice of Intent to Levy

ORM 4340 (REV. 01-2002)

Case 2:11-cv-00698-FJM Document 56 Filed 05/11/12 Page 33 of 44 CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS CLARE L READING EIN/SSN: 4550 TYPE OF TAX: CIVIL PENALTY FORM: CVPN TAX PERIOD: DEC 2004 BALANCE 500.00 I CERTIFY THAT THE FOREGOING TRANSCRIPT OF THE TAXPAYER NAMED ABOVE IN RESPECT TO THE TAXES SPECIFIED IS A TRUE AND COMPLETE TRANSCRIPT FOR THE PERIOD STATED, AND ALL ASSESSMENTS, ABATEMENTS, CREDITS, REFUNDS, AND ADVANCE OR UNIDENTIFIED PAYMENTS, AND THE ASSESSED BALANCE RELATING THERETO, AS DISCLOSED BY THE RECORDS OF THIS OFFICE AS OF THE ACCOUNT STATUS DATE ARE SHOWN THEREIN. FURTHER CERTIFY THAT THE OTHER SPECIFIED MATTERS SET FORTH IN THIS TRANSCRIPT APPEAR IN THE OFFICIAL RECORDS OF THE INTERNAL REVENUE SERVICE. SIGNATURE OF CERTIFYING OFFICER: Debbie Bybee PRINT NAME: Department Manager, Ogden W&I Submission Processing

DELEGATION ORDER: ____ W&I Delegation Order WI-11-5

LOCATION: INTERNAL REVENUE SERVICE

ACCOUNT STATUS DATE 05/05/2010

FORM 4340 (REV. 01-2002)

PAGE

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of America

Department of the Treasury Internal Revenue Service

CERTIFICATE OF OFFICIAL RECORD

Government
Exhibit
DuffyExD-9

I certify that the annexed: is a true Form 4340, Certificate of Assessments, Payments and Other Specified Matters for Clare L. Reading, SSN: 4550, for Civil Penalty, for the tax period ending December 31, 2005, consisting of three pages

under the custody of this office.

IN WITNESS WHEREOF, I have hereunto set my hand, and caused the seal of this office to be affixed, on the day and year first above written.

By direction of the Secretary of the Treasury:

Debbie Bybee

Department Manager

Ogden W&I Submission Processing

Case 2:11-cv-00698-FJM Document 56 Filed 05/11/12 Page 35 of 44

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

CLARE L READING

EIN/SSN: 4550

TYPE	OF	TAX:	CIV	/IL F	PENALTY		
FORM	C.	VPN		TAX	PERIOD:	DEC	2005

02-13-2008 LEGAL SUIT PENDING

FORM 4340 (REV. 01-2002)

	C: CIVIL PENALTY TAX PERIOD: DEC 2005			
DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	PAYMENT, CREDIT (REVERSAL)	ASSESSMENT DATE (23C, RAC 006)
67491426077V22281 , distributed substitute, distributed racio				
	MISCELLANEOUS PENALTY IRC 6702 PENALTY FOR FILING FRIVOLOUS INCOME TAX RETURN 92254-480-52006-7 20071308	50	0.00	04-09-2007
	ADDITIONAL TAX ASSESSED 92254-480-52006-7 20071308		0.00	04-09-2007
10-22-2007	MODULE IN FEDERAL PAYMENT LEVY PROGRAM			
	INTENT TO LEVY COLLECTION DUE PROCESS NOTICE LEVY NOTICE ISSUED			
01-18-2008	INTENT TO LEVY COLLECTION DUE PROCESS NOTICE RETURN RECEIPT SIGNED			
02-29-2008	FEDERAL TAX LIEN			
02-13-2008	COLLECTIONS WORKING CASE			
04-07-2008	MODULE REVERSED OUT OF FEDERAL PAYMENT LEVY PROGRAM			

Case 2:11-cv-00698-FJM Document 56 Filed 05/11/12 Page 36 of 44

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

CLARE L READING

EIN/SSN: 4550

TYPE OF TAX: CIVIL PENALTY

FORM: CVPN

)ATE

TAX PERIOD: DEC 2005

EXPLANATION OF TRANSACTION

ASSESSMENT, OTHER DEBITS CREDIT (REVERSAL)

PAYMENT,

ASSESSMENT DATE (23C, RAC 006)

13-12-2008 COLLECTIONS WORKING CASE

13-12-2008 LEGAL SUIT PENDING

11-17-2008 INTENT TO LEVY COLLECTION DUE PROCESS NOTICE LEVY NOTICE ISSUED

11-09-2009 FEDERAL TAX LIEN

12-14-2009 COLL DUE PROC HRNG RSLVD BY APPEALS-DETRMINATN LTR ISSUED, TXPYR WAIVD JUDCL REVW OR WIHDRW HRNG ROST

12-14-2009 COLL DUE PROC HRNG RSLVD BY APPEALS-DETRMINATH LTR ISSUED, TXPYR WAIVD JUDCL REVW OR WIHDRW HRNG ROST

12-14-2008 LEGAL SUIT NO LONGER PENDING

14-09-2007 Statutory Notice of Balance Due

15-14-2007 Statutory Notice of Intent to Levy

ORM 4340 (REV. 01-2002)

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Case 2:11-cv-00698-FJM Document 56 Filed 05/11/12 Page 37 of 44

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

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~	DEA			-	-		* 12		• •	-

EIN/SSN: 4550

TYPE OF TAX: CIVIL PENALTY

FORM: CVPN TAX PERIOD: DEC 2005

BALANCE

500.00

I CERTIFY THAT THE FOREGOING TRANSCRIPT OF THE TAXPAYER NAMED ABOVE IN RESPECT TO THE TAXES SPECIFIED IS A TRUE AND COMPLETE TRANSCRIPT FOR THE PERIOD STATED, AND ALL ASSESSMENTS, ABATEMENTS, CREDITS, REFUNDS, AND ADVANCE OR UNIDENTIFIED PAYMENTS, AND THE ASSESSED BALANCE RELATING THERETO, AS DISCLOSED BY THE RECORDS OF THIS OFFICE AS OF THE ACCOUNT STATUS DATE ARE SHOWN THEREIN. FURTHER CERTIFY THAT THE OTHER SPECIFIED MATTERS SET FORTH IN THIS TRANSCRIPT APPEAR IN THE OFFICIAL RECORDS OF THE INTERNAL REVENUE SERVICE.

SIGNATURE OF CERTIFYING OFFICER:

Debbie Bybee PRINT NAME:

Department Manager, Ogden W&I Submission Processing TITLE:

DELEGATION ORDER: W&I Delegation Order WI-11-5

LOCATION: INTERNAL REVENUE SERVICE

ACCOUNT STATUS DATE 05/05/2010

FORM 4340 (REV. 01-2002)



of America

Department of the Treasury Internal Revenue Service

Date: May 05, 2010

CFRTIFICATE OF OFFICIAL RECORD

Government
Exhibit
DuffyExD-10

I certify that the annexed: is a true Form 4340, Certificate of Assessments, Payments and Other Specified Matters for Clare L. Reading, SSN: 4550, for Civil Penalty, for the tax period ending December 31, 2006, consisting of three pages

under the custody of this office.

IN WITNESS WHEREOF, I have hereunto set my hand, and caused the seal of this office to be affixed, on the day and year first above written.

By direction of the Secretary of the Treasury:

Debbie Bybee

Department Manager

Ogden W&I Submission Processing

Case 2:11-cv-00698-FJM Document 56 Filed 05/11/12 Page 39 of 44

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

CLARE L READING

EIN/SSN: 4550

TYP	FO	F T	TAX:	CIVI	L PF	NALTY

FORM 4340 (REV. 01-2002)

FORM: CVPN	TAX PERIOD: DEC 2006		
DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, PAYMENT, OTHER DEBITS CREDIT (REVERSAL) (REVERSAL)	DATE (23C,
with abwerdall thatter. Vitalia servicini, servicini			
	MISCELLANEOUS PENALTY IRC 6702 PENALTY FOR FILING FRIVOLOUS INCOME TAX RETURN 92254-675-52021-7 20074108	5,000.00	10-22-2007
	ADDITIONAL TAX ASSESSED 92254-675-52021-7 20074108	0.00	10-22-2007
01-17-2008	INTENT TO LEVY COLLECTION DUE PROCESS NOTICE LEVY NOTICE ISSUED		
01-18-2008	INTENT TO LEVY COLLECTION DUE PROCESS NOTICE RETURN RECEIPT SIGNED	y	
02-04-2008	MODULE IN FEDERAL PAYMENT LEVY PROGRAM		
02-29-2008	FEDERAL TAX LIEN		
02-13-2008	COLLECTIONS WORKING CASE		*
04-07-2008	MODULE REVERSED OUT OF FEDERAL PAYMENT LEVY PROGRAM		
02-13-2008	LEGAL SUIT PENDING	5 ×	

PAGE I

Case 2:11-cv-00698-FJM Document 56 Filed 05/11/12 Page 40 of 44

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

LARE L READING

ATE

EIN/SSN: 4550

YPE OF TAX: CIVIL PENALTY

TAX PERIOD: DEC 2006 ORM: CVPN

EXPLANATION OF TRANSACTION

ASSESSMENT, OTHER DEBITS PAYMENT, CREDIT

ASSESSMENT DATE (23C.

(REVERSAL)

(REVERSAL)

RAC 006)

3-12-2008 COLLECTIONS WORKING CASE

3-12-2008 LEGAL SUIT PENDING

1-17-2008 INTENT TO LEVY COLLECTION DUE PROCESS NOTICE

LEVY NOTICE ISSUED

I-09-2009 FEDERAL TAX LIEN

2-14-2009 COLL DUE PROC HRNG RSLVD BY APPEALS-DETRMINATN LTR ISSUED, TXPYR WAIVD JUDGL REVW OR WTHDRW HRNG RQST

2-14-2009 COLL DUE PROC HRNG RSLVD BY APPEALS-DETRMINATN LTR ISSUED, TXPYR WAIVD JUDCL REVW OR WIHDRW HRNG ROST

2-14-2008 LEGAL SUIT NO LONGER PENDING

0-22-2007 Statutory Notice of Balance Due

1-26-2007 Statutory Notice of Intent to Levy

ORM 4340 (REV. 01-2002)

Case 2:11-cv-00698-FJM Document 56 Filed 05/11/12 Page 41 of 44

CERTIFICATE OF ASSESSMENTS, PAYM	ENTS, AND OTHER SPECIFIED MATTERS
CLARE L READING	EIN/SSN: 4550
TYPE OF TAX: CIVIL PENALTY FORM: CVPN TAX PERIOD: DEC 2006	
BALANCE 5,000.00	
TO THE TAXES SPECIFIED IS A TRUE AND COUNTY AND ALL ASSESSMENTS, ABATEMENTS, CREDIT PAYMENTS, AND THE ASSESSED BALANCE RELATED THE ACCOUNTY THAT THE OTHER SPECIFIES APPEAR IN THE OFFICIAL RECORDS OF THE APPEAR IN THE OFFIC	OF THE TAXPAYER NAMED ABOVE IN RESPECT DMPLETE TRANSCRIPT FOR THE PERIOD STATED, IS, REFUNDS, AND ADVANCE OR UNIDENTIFIED ATING THERETO, AS DISCLOSED BY THE STATUS DATE ARE SHOWN THEREIN. IED MATTERS SET FORTH IN THIS TRANSCRIPT
SIGNATURE OF CERTIFYING OFFICER: PRINT NAME: Debbie Bybee TITLE: Department Manager, Ogden W&I Submi	
DELEGATION ORDER: W&I Delegation Order WI-	-11-5
LOCATION: INTERNAL REVENUE SERVICE	

PAGE 3

ACCOUNT STATUS DATE 05/05/2010

FORM 4340 (REV. 01-2002)



of America

Department of the Treasury Internal Revenue Service

Date: Jan. 19, 2011

CERTIFICATE OF OFFICIAL RECORD

Government
Exhibit
DuffyExD-11

I certify that the annexed: is a true Form 4340, Certificate of Assessments, Payments and Other Specified Matters for Clare L. Reading, SSN: 4550, for Civil Penalty, for the tax period ending December 31, 2008, consisting of two pages

under the custody of this office.

IN WITNESS WHEREOF, I have hereunto set my hand, and caused the seal of this office to be affixed, on the day and year first above written.

By direction of the Secretary of the Treasury:

Steve Bonnemort

Accounting Operation Manager Ogden W&I Submission Processing W&I Delegation Order WI-11-5

Case 2:11-cv-00698-FJM Document 56 Filed 05/11/12 Page 43 of 44

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

CLARE L REA	ADING	EIN/SSN:	4550	
A. 1010-0 Mar March 1000-000	X: CIVIL PENALTY TAX PERIOD: DEC 2008		*	
DATE	EXPLANATION OF TRANSACTION	ASSESSMENT, OTHER DEBITS (REVERSAL)	CREDIT	ASSESSMENT DATE (23C, RAC 006)
and of poor next standing Security, specially				
	MISCELLANEOUS PENALTY IRC 6702 PENALTY FOR FILING FRIVOLOUS INCOME TAX RETURN 92254-605-52015-9 20093108	5,00	0.00	08-17-2009
	ADDITIONAL TAX ASSESSED 92254-605-52015-9 20093108	a	0.00	08-17-2009
10-06-2009	INTENT TO LEVY COLLECTION DUE PROCESS NOTICE LEVY NOTICE ISSUED			
10-09-2009	FEDERAL TAX LIEN			
11-02-2009	FEES AND COLLECTION COSTS	1	6.00	
10-09-2009	INTENT TO LEVY COLLECTION DUE PROCESS NOTICE RETURN RECEIPT SIGNED			
10-22-2009	COLLECTIONS WORKING CASE			
10-22-2009	LEGAL SUIT PENDING			

PAGE 1

08-17-2009 Statutory Notice of Balance Due

FORM 4340 (REV. 01-2002)

Case 2:11-cv-00698-FJM Document 56 Filed 05/11/12 Page 44 of 44

CERTIFICATE OF ASSESSMENTS, PAYMENTS, AND OTHER SPECIFIED MATTERS

~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	
CLARE L READING	EIN/SSN: 4550
TYPE OF TAX: CIVIL PENALTY FORM: CVPN TAX PERIOD: DEC 2008	
	, , , , , , , , , , , , , , , , , , ,
BALANCE 5,016.00	
I CERTIFY THAT THE FOREGOING TRANSCRIPT OF THE TAXPAYER NAMED ABOVE IN RESPECT TO THE TAXES SPECIFIED IS A TRUE AND COMPLETE TRANSCRIPT FOR THE PERIOD STATED, AND ALL ASSESSMENTS, ABATEMENTS, CREDITS, REFUNDS, AND ADVANCE OR UNIDENTIFIED PAYMENTS, AND THE ASSESSED BALANCE RELATING THERETO, AS DISCLOSED BY THE RECORDS OF THIS OFFICE AS OF THE ACCOUNT STATUS DATE ARE SHOWN THEREIN. I FURTHER CERTIFY THAT THE OTHER SPECIFIED MATTERS SET FORTH IN THIS TRANSCRIPT APPEAR IN THE OFFICIAL RECORDS OF THE INTERNAL REVENUE SERVICE.  SIGNATURE OF CERTIFYING OFFICER:  PRINT NAME:  Steve Bonnemort	
TITLE: Accounting Operation Manager, Ogden Wa	&I Submission Processing
DELEGATION ORDER: W&I Delegation Order WI-	<b>11-</b> 5
LOCATION: INTERNAL REVENUE SERVICE	
ACCOUNT STATUS DATE 01/19/20	11

FORM 4340 (REV. 01-2002)

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